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## **Amendments to the Claims**

This listing of claims will replace all prior versions and listings of claims in the application:

## Listing of Claims

Claims 1 to 12 (cancelled)

13. (Currently amended) A process for synthesis of a compound of the formula:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $R_5$ 

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>4</sub> and R<sub>5</sub> are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO<sub>2</sub>-alkyl of 1-6 carbon atoms, -SO<sub>2</sub>-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

R<sub>3</sub> is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms,

fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO<sub>2</sub>-alkyl of 1-6 carbon atoms, -SO<sub>2</sub>-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

a) treating a cyclopenta[b]indole an indoline compound of the formula:

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with an electrophile to form an optionally substituted eyelopenta[b]indol 4-ylacetamide acetamide compound of the formula:

$$R_2$$
 $H_2N$ 
 $O$ 
 $R_1$ 
 $N$ 
 $R_4$ 
 $R_5$ 

b) treating the optionally substituted eyelopenta[b]indol-4-ylacetamide acetamide of step a) with a reducing agent to form the corresponding optionally substituted cyclopenta[b]indol-4-yl-amine of the formula:

$$R_2$$
  $H_2N$   $R_1$   $R_4$   $R_5$  ; and

c) treating the cyclopenta[b]indol-4-yl-amine of step b) with an aldehyde in the presence of an acid to form an optionally substituted diaza-benzo[cd]cyclopenta[a] azulene compound of the formula:

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14. (Previously presented) The process of Claim 13 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

with an alkylating agent to produce a compound of the formula:

wherein R is alkyl of from 1 to 6 carbon atoms and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  and  $R_5$  are as defined in Claim 13.

15. (Previously presented) The process of Claim 13 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

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with an acylating agent to produce a compound of the formula:

wherein R is -C(O)R'; R' is alkyl of from 1 to 6 carbon atoms or aryl; and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  and  $R_5$  are as defined in Claim 13.

16. (Currently amended) A process for preparing a compound of the formula:

$$R_1$$
 $R_4$ 
 $R_5$ 

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>4</sub> and R<sub>5</sub> are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO<sub>2</sub>-alkyl of 1-6 carbon atoms, -SO<sub>2</sub>-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

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R<sub>3</sub> is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO<sub>2</sub>-alkyl of 1-6 carbon atoms, -SO<sub>2</sub>-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

a) treating an optionally substituted eyclopenta[b]indole indoline compound of the formula:

$$R_2$$
 $R_1$ 
 $R_1$ 
 $R_1$ 
 $R_4$ 
 $R_5$ 
 $R_4$ 
 $R_5$ 

with an electrophile to form an optionally substituted nitrile compound of the formula:

b) treating the optionally substituted nitrile compound of step a) with a reducing agent to provide an optionally substituted amine compound of the formula:

$$R_2$$
 $R_1$ 
 $R_4$ 
 $R_5$ 
; and

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c) treating the amine compound of step b) with an aldehyde in the presence of an acid to form an optionally substituted diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

17. (Previously presented) The process of Claim 16 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

with an alkylating agent to produce a compound of the formula:

wherein R is alkyl of from 1 to 6 carbon atoms and R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> and R<sub>5</sub> are as defined in Claim 16.

18. (Previously presented) The process of Claim 16 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

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with an acylating agent to produce a compound of the formula:

wherein R is -C(O)R'; R' is alkyl of from 1 to 6 carbon atoms or aryl; and  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  and  $R_5$  are as defined in Claim 16.

19. (Previously presented) A process for preparing a compound of the formula:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $R_5$ 

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>4</sub> and R<sub>5</sub> are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO<sub>2</sub>-alkyl of 1-6 carbon atoms, -SO<sub>2</sub>-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

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R<sub>3</sub> is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms,

fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO<sub>2</sub>-alkyl of 1-6 carbon atoms, -SO<sub>2</sub>-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

treating an optionally substituted amine compound of the formula:

$$R_2$$
 $H_2N$ 
 $R_1$ 
 $R_4$ 
 $R_5$ 

with an aldehyde in the presence of an acid to provide an optionally substituted diazabenzo [cd]cyclopenta[a]azulene compound of the formula:

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> and R<sub>5</sub> are defined as above.

Claims 20 to 22 (canceled)

- 23. (Previously presented) The process of Claim 19 wherein the aldehyde comprises at least formaldehyde or acetaldehyde.
- 24. (Previously presented) The process of Claim 23 wherein the acid comprises at least trifluoroacetic acid.

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25. (New) A process for preparing a compound of the formula:

$$R_2$$
 $R_3$ 
 $R_4$ 
 $R_5$ 

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>4</sub> and R<sub>5</sub> are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO<sub>2</sub>-alkyl of 1-6 carbon atoms, -SO<sub>2</sub>-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

R<sub>3</sub> is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO<sub>2</sub>-alkyl of 1-6 carbon atoms, -SO<sub>2</sub>-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

a) treating an optionally substituted cyclopenta[b]indole compound of the formula:

with an electrophile to form an optionally substituted nitrile compound or an optionally substituted cyclopenta[b]indol-4-ylacetamide compound of the formulas:

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$$R_2$$
 $R_2$ 
 $R_2$ 
 $R_2$ 
 $R_2$ 
 $R_2$ 
 $R_2$ 
 $R_2$ 
 $R_3$ 
 $R_4$ 
 $R_5$ 
 $R_4$ 
 $R_5$ 
 $R_4$ 
 $R_5$ 

b) treating the optionally substituted nitrile compound or optionally substituted cyclopenta[b]indol-4-ylacetamide compound of step a) with one or more reducing agents to provide an optionally substituted amine compound of the formula:

$$R_2$$
 $H_2N$ 
 $R_1$ 
 $R_4$ 
 $R_5$ ; and

c) treating the amine compound of step b) with an aldehyde in the presence of an acid to form an optionally substituted diaza-benzo[cd]cyclopenta[a]azulene compound of the formula: